





DEPARTMENT OF COMMERCE **United States Patent and Trademark Office**

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR		АП	ORNEY DOCKET NO.
09/208,99	98 12/11/	98 GANESAN		R	33500-029
_			\neg	EXAMINER	
		TM02/0510			
LALOS AND KEEGAN				RETTA, Y	
1146 NINETEENTH STREET N W				ART UNIT	PAPER NUMBER
FIFTH FLO)OR				
WASHINGTON DC 20036-3703				2162	11
				DATE MAILED:	
					05/10/01

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Advisory Action

Application No. 09/208,998

Applice...t(s)

Art Unit

Examiner Yehdega Retta

2162

Ganesan et al.



The MAILING DATE of this communication appears on the cover sheet with the correspondence address	
THE REPLY FILEDApr 20, 2001 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid the abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may only be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.	า
THE PERIOD FOR REPLY [check only a) or b)]	
a) X The period for reply expires3 months from the mailing date of the final rejection.	
b) In view of the early submission of the proposed reply (within two months as set forth in MPEP § 706.07 (f)), the period for reply expires on the mailing date of this Advisory Action, OR continues to run from the mailing date of the final rejection, whichever is later. In no event, however, will the statutory period for the reply expire later than SIX MONTHS from the mailing date of the final rejection.	
Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in (b) above, if checked. Any reply received by the Office later than three months after the mailing date of the final rejection, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).	
1. A Notice of Appeal was filed on Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal.	
2. The proposed amendment(s) will be entered upon the timely submission of a Notice of Appeal and Appeal Brief with requisite fees.	
3. The proposed amendment(s) will not be entered because:	
(a) They raise new issues that would require further consideration and/or search. (See NOTE below);	
(b) They raise the issue of new matter. (See NOTE below);	
(c) ☐ they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or	
(d) they present additional claims without cancelling a corresponding number of finally rejected claims.	
NOTE:	
	_
4. Applicant's reply has overcome the following rejection(s):	_
5. Newly proposed or amended claim(s) would be allowable if submitted separate, timely filed amendment cancelling the non-allowable claim(s).	– d in
6. ☑ The a) ☐ affidavit, b) ☐ exhibit, or c) ☑ exhibit,	_
7. The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection.	_
8. 🗵 For purposes of Appeal, the status of the claim(s) is as follows (see attached written explanation, if any):	
Claim(s) allowed:	
Claim(s) objected to:	_
Claim(s) rejected: <u>1-34</u>	_
9. The proposed drawing correction filed on a) has b) has not been approved by the Examina	er.
10. Note the attached Information Disclosure Statement(s) (PTO-1449) Paper No(s).	
11. A Other: PETITION DECISION ON INS AFTER FINAL ERICW. STAMBER	_
LOS AFTICK FUMIC	

PRIMARY EXAMINER



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

In re Application of Ravi Ganesan et al. Serial No. 09/208,998 Filed: December 11, 1998

For: TECHNIQUE FOR CONDUCTING

SECURE TRANSACTIONS OVER A

NETWORK

: DECISION ON PETITION UNDER

: 37 CFR 1.97(d) FOR : CONSIDERATION OF

: INFORMATION DISCLOSURE : STATEMENT AFTER FINAL

: REJECTION

REJECTIO

The information disclosure statement filed in this application has been filed After Final Rejection and has been constructively treated as a petition to consider the IDS under 37 CFR 1.97(d).

The petition under 37 CFR 1.97(d), filed 3/12/2001 for consideration of an information disclosure statement has been:

[] GRANTED. The information disclosure statement will be considered by the examiner.

[X] DENIED.

[] It was filed after payment of the issue fee. See 37 C.F.R. 1.97(d).

The petition lacks:

- [X] The required fee under 37 CFR 1.97(d)(2)(iii) and 1.17(i)(1).
- [X] A proper certification as specified in 37 CFR 1.97(d)(2)(i) and 1.97(e).

The information disclosure statement has been placed of record in the file but has not been considered by the examiner.

Eric W. Stamber

Acting SPE, Art Unit 2162

Lalos and Keegan 1146 Nineteenth Street N.W. Fifth Floor Washington, DC 20036-3703